

REGISTRATION OF NON-LICENSED PERSON/ LENDING, LEASING, FINANCIAL GUARANTEES



Proceeds of Crime (Anti-Money Laundering and Anti-Terrorist Financing Supervision and Enforcement) Act 2008 (the SEA Act)

In accordance with the above Act, this form should be forwarded to the Bermuda Monetary Authority's Anti-Money Laundering (AML)/Anti-Terrorist financing (ATF) Unit with the registration fee (or proof of wire payment) and the company's board approved AML/ATF Policies & Procedures and Fit & Proper Requirements.

Business Information:			
<i>For definition of "Type of Business", see Section 2 of the Proceeds of Crime (Anti-Money Laundering and Anti-Terrorist Financing Supervision and Enforcement) Act 2008</i>			
Register of Companies Number			
Non-Licensed Person Legal Name			
Type of Business <i>(tick one)</i>		<input type="checkbox"/> Lending, including consumer credit; mortgage credit; factoring, with or without recourse; and finance of commercial transactions (including forfeiting) - Proceeds of Crime Act 1997	
		<input type="checkbox"/> Financial leasing but not including consumer products - Proceeds of Crime Act 1997	
		<input type="checkbox"/> Financial guarantees and commitments, not including financial guarantee insurance which is an insurance business under the Insurance Act 1978 - Proceeds of Crime Act 1997	
AML/ATF Policies & Procedures attached <input type="checkbox"/> YES <input type="checkbox"/> NO <i>(tick one)</i>		<i>Please state AML/ATF policies and procedures document name and/or reason the policies are not attached.</i>	
Fit & Proper Requirements Attached		<i>Please supply the résumés of the Compliance Officer and Reporting Officer in addition to anyone who effectively directs or controls the business of the applicant, including a director, controller or senior executive.</i>	
Compliance Officer Information: <i>Person responsible for oversight of the AML/ATF regulated financial institution's compliance with AML/ATF regulations</i>		Reporting Officer Information: <i>Person nominated to receive internal suspicious activity reports</i>	
Name: <i>(please print)</i>		Name: <i>(please print)</i>	
Email:		Email:	
Telephone:		Telephone:	
Fax:		Fax:	
Compliance Officer Address Details:		Reporting Officer Address Details:	
Company <i>(If applicable)</i>		Company <i>(If applicable)</i>	
Address Line 1:		Address Line 1:	
Address Line 2:		Address Line 2:	
City/Parish:		City/Parish:	
State, Zip Code:		State, Zip Code:	
Country:		Country:	

COMPANY INFORMATION			
Physical Address		Mailing Address: <i>(If different from physical address)</i>	
Address Line 1:		Address Line 1:	
Address Line 2:		Address Line 2:	
City/Parish:		City/Parish:	
State, Zip Code:		State, Zip Code:	
Country:		Country:	

PRIMARY CONTACT INFORMATION			
Billing Contact		Person Completing Registration Form <i>(If different from billing contact)</i>	
Name: <i>(please print)</i>		Name: <i>(please print)</i>	
Email:		Email:	
Telephone:		Telephone:	
		Signature/Date:	

Payment Instructions

General Note:

All payments in respect of the above are payable to the Bermuda Monetary Authority's Finance Unit, Reference: AML/ATF Registration Fee

Please advise the remitting bank that all bank charges are to be paid by the payer or add bank charges to amount remitted.

Payment by cheque:

Cheques in US and Bermuda Dollars drawn on a Bermuda bank are accepted. All cheques in respect of the above are payable to the Bermuda Monetary Authority at:

*BMA House
43 Victoria Street
Hamilton HM12
Attention: AML/ATF Unit*

International Payments

The following is information for international wire transfers to Bermuda Monetary Authority's two bank accounts:

For payments to our Bank of N.T. Butterfield & Son Limited account please use the following:

*The Bank of New York Mellon
ABA 021000018 SWIFT
IRVTUS3NXXX*

Credit to:

*Bank of N.T. Butterfield and Son Limited In
favour of:*

*Bermuda Monetary Authority
Account No: 20 006 060 894331 100 SWIFT BNTBBMHM*

For payments to our HSBC account please use the following:

*HSBC Bank USA, N.A.
ABA 021001088 SWIFT
MRMDUS33*

Credit to:

*HSBC Bank Bermuda Limited In
favour of:*

*Bermuda Monetary Authority
Account No: 010 308427 001 SWIFT BBDABMHM*

Domestic Payments

Domestic payments can be made directly to the Authority through the online banking systems at HSBC Bermuda and Butterfield Bank.

Select the "Proceeds of Crime (AML)" payment stream which can be identified within the "Bill Payee" section of your online bank via the prefixes, "The Bermuda Monetary Authority" or "BMA"

If you have any queries regarding payments, please email finance-receivables@bma.bm.